Boards and Beyond: Behavioral Science

A Companion Book to the Boards and Beyond Website

Jason Ryan, MD, MPH

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Ethical Principles

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Ethics

- Moral principles
- Govern individual or group behavior

Principlism

- Practice of using principles to guide medical ethics
- Most common US framework for ethical reasoning
- Four core principles
 - Autonomy
 - Beneficence
 - Non-maleficence
 - Justice

Autonomy

- $\bullet \ Most important \, US \, ethical \, principle \,$
- Absolute right of all competent adult patients to make decisions about their own healthcare
- Patient has "autonomy" over their own body

Autonomy

- Includes right to accept/not accept medical care
- $\bullet \ \ Providers \ must \ respect \ patient \ decisions$
- $\bullet \ \ Providers \ must honor their preferences$

Autonomy

- \bullet When patients decline medical care:
 - Okay to ask **why** they are declining
 - $\bullet\,$ Avoid judging, threatening, or scolding
 - "You may die if you make this choice..."
 - "This choice is a mistake..."
 - "You should not do this..."

Beneficence

- $\bullet \ \ Providers \ must \ act \ in \ \textbf{best interests of patients}$
- Usually superseded by autonomy
 - Patients may choose to act against their interests
 - · Example: Patient may decline life-saving medical care

Non-maleficence

- · Do no harm
- Always balanced against beneficence
- · Risk versus benefits
- Some harmful actions (surgery) are beneficial

Justice

- Treat patients fairly and equally
- · Also use health resources equitably
- · Triage:
 - · Form of "distributive justice"
 - · Care delivered fairly to all

Gifts from Companies

- Often drug or device companies/manufacturers
- Can influence physician behavior
- Generally acceptable if educational and low value
 - · Educational dinner or textbook
 - Value usually should be <\$100
- Cash, tickets, vacations, other gifts NOT acceptable

Honoraria

- Fees to physicians paid by industry
 - Goal usually to promote research about a new product
 - Example: Drug company pays MD to speak
- Acceptable but must be disclosed to audience
- Fee must be fair and reasonable
- Fee cannot be in exchange for MD using product

Gifts from Patients

- No definite rules
- In general, small gifts are usually okay
- $\bullet \ Large, excessive gifts usually not okay\\$
 - $\bullet \ \ \text{May be viewed as given in exchange for special} \ \ \text{treatment}$

Romantic Relationships

- Relationships with current patients never okay
- Per AMA: Sexual contact concurrent with the patientphysician relationship is sexual misconduct

Patient-Physician Relationship

- · Physicians may decline to care for a patient
- Do not have to accept all patients that request care
- Once relationship starts, cannot refuse treatment
 - Example: MD does not want to perform abortion
 - · Still must assist the patient
 - · Refer to another provider

Medical Errors

• Mistakes/errors should be disclosed to patients

Family and Friends

- Most medical societies recommend against giving nonemergent medical care to family and friends
 - Many ethical conflicts
- Emergencies are an exception

Family of Patients

- May be present during patient encounters
- May answer for patients, disrupt interview
- $\bullet \ \ Don't ask patient if they want family present$
 - Patient may be afraid to say no
- Politely ask family for time alone with patient

Noncompliant Patients

- Always try to understand WHY
- Why doesn't patient want to take medications?
- Why doesn't patient want to go for tests?
- Try to help
- · Provide more information
- · Avoid scolding or threats
 - "You will get sick if you don't..."

Emotional Patients

- Acknowledge the patient's feelings "I understand you are upset because..."
- Always try to understand WHY
- Why is the patient upset?
- Check for understanding of issues
- Avoid telling patients to calm down
- Don't ignoreemotions

Informed Consent

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Informed Consent

- $\bullet \ All \ medical \ interventions \ require \ informed \ consent$
- Patient must agree/consent to treatment
- $\bullet \ \mathsf{Mustin form \, about \, benefits, risks, alternatives}$

Informed Consent

- Benefits
- Risks
 - Must describe all major adverse effects
 - Commonly known risks do not need to be described
 - Example: choking on pill
- Alternative treatments
 - · Other therapies
 - What could happen with no treatment

Informed Consent

- Must be in language the patient can understand
- Must used trained language interpreters
- Must be voluntary (not coerced)
- Patient must have decision-making capacity

Informed Consent

• Patients may withdraw consent at any time

Informed Consent

- $\bullet \ Every \, procedure \, {\tt requires} \, {\tt consent}$
- Consent for one procedure does not imply consent for another
- · Classic example:
- · Mohr vs. Williams
- Non-life-threatening diagnosis detected in OR
- Operation for right ear uncovered disease on left
- Cannot operate left ear without consent
- Emergencies are an exception

Informed Consent

Exceptions

- · Lack of decision-making capacity
- Emergencies
- Therapeutic privilege
- Waiver
- Minors

Emergencies

- Consent is implied in an emergency
- Classic example: Unconscious trauma patient

Therapeutic Privilege

- May withhold information when disclosing it would cause dangerous psychological threat
- Often invoked for psychiatric patients at risk of harm
- Information often temporarily withheld until plan put in place with family, other providers

Therapeutic Privilege

- Does not apply to distressing test results
 - · Cancer diagnosis would upset patient
 - Family cannot request information be withheld
- Cannot trick patient into treatment
- Cannot lie to patient to get them to agree to therapy
- Patient autonomy most important guiding principle

Waiver

- Patient may ask provider not to disclose risks
- \bullet Waives the right to informed consent
- Provider not required to state risks over objection
- Try to understand why patient requests waiver

Minors

- Usually defined as person <18 years of age
- Only parent or legal guardian may give consent
- Exceptions
 - Emergency
 - · Emancipated minors
 - Special situations

Minors

Emergency Care

- Consent not required (implied)
- Care administered even if parent not present
- Care can be administered against parents' wishes
 - · Classic example: Parents are Jehovah's Witnesses
 - · Physician may administer blood products to child
 - · Do not need court order

Emancipated Minor

- Minors can attain "legal adulthood" before 18
- Common criteria:
 - Marriage
- · Military service
- · Living separately from parents, managing own affairs
- Emancipated minors may give consent

Minors

Special Situations

- Most US states allow minors to consent for certain interventions without parental consent
 - Contraceptives
 - · Prenatal Care
 - Treatment for STDs
 - Treatment for substance abuse

Abortion

• Rules on parental notification vary by state

Abortion

- Providers not compelled to perform a procedure
- If patient insists, refer to another provider

Organ Donation

- Brain dead patients are possible organ donors
- In US, organ donation must be discussed only by individuals with specialized training
- Conflict of interest for caregiver to request organ donation
- Family may believe physician giving up to obtain organs
- "Organprocurementorganizations"
- $\bullet \ \ Often \ do nation \ coordinator \ and \ attending \ physician$

Organ Donation

- In US, individuals assumed NOT to be donors
- Family consent generally required
- · Organ donation cards
 - · Indicate a preference not final choice
 - Usually not a reason to override family refusal to donate

Moskop J. AMA Journal of Ethics. **Organ Donation: When Consent Confronts Refusal.** Feb 2003; 5(2)

DNR

Do Not Resuscitate

- Patient request to avoid resuscitative measures
- Meant to decline care in case of cardiac arrest
- No CPR
- · No electrical shocks
- $\bullet \ Other \ the rapies \ may \ still \ be \ given$
 - Includes ICU care, surgery etc.

DNI

Do Not Intubate

- Patient request to avoid mechanical ventilation
- Often given with DNR: "Patient is DNR/DNI"
- Other therapies may still be given

Advance Care Planning

- Deciding about care prior to incapacitation
- Ideally done as outpatient with primary care MD
- · Often done at admission to hospital

Advance Care Planning

- Goal is to identify/document patient wishes
 - DNR/DNI status ("code status")
 - Living will
 - Health Care Proxy
- $\bullet \ \ \text{Very important in patients with } \textbf{chronic illness}$
 - Cancer
 - Heart Failure
 - COPD

Research

- $\bullet \ Research \, requires \, consent$
- All clinical research studies require informed consent
- $\bullet \ Even if drug/the rapy is FDA \ approved$
- · Even if drug/therapy has no known risks

Research

- Institutional Review Board (IRB)
- Hospital/Institutional committee
- Reviews and approves all research studies
- $\bullet \ Ensures \, protection \, of \, human \, subjects \,$
- Balances risks/benefits
- $\bullet \ Ensures a dequate informed consent$

Research

- Prisoners
 - Informed consent required as for non-prisoners
- · Financial disclosures
 - · Many companies sponsor research
 - Must inform patients of industry sponsorship

Pregnancy

- Pregnant women may refuse treatment
- Even if baby's health is impacted

Documentation

- **Person performing procedure** should obtain and document patient's consent
- Alternative: someone VERY familiar with procedure
- Often patient asked to sign form
- Act of signing not sufficient for informed consent
- Patient must be fully informed by provider
- · Patient must have understanding
- Legal cases have been won despite signed form

Documentation

- Telephone consent is valid
- Usually requires a "witness"
- Provider and witness document phone consent

Confidentiality

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Confidentiality

- Healthcare information is "privileged and private"
- Providers have duty to respect patient privacy
- $\bullet \ \ Disclosure \ of patient information \ should \ be \ limited$

HIPAA

Health Insurance Portability and Accountability Act of 1996

- Sets national standards for protecting confidentiality
- Identifies protected health information

Confidentiality

- Information disclosed only with patient permission
- Includes patient's spouse and children
 - · Need patient's permission
- · Includes otherphysicians
- · Must obtain release of information first
- $\bullet \ \ Includes \ government \ authorities$
- Unless a court order is issued
- Limited exceptions

Confidentiality

- May tell family a patient's location in ER/hospital
 - "Directory information"
- Patient location in the facility, general health condition
- No specific medical information
- Disclosed if provider deems in patient's best interest

Confidentiality

- May break confidentiality when ${\bf potential}\,{\bf for}\,{\bf harm}$
 - Think: If 3rd party not warned, what will happen?
 - If definite harm → answer is usually to inform

Tarasoff Case

- Tarasoff v. Regents of the University of California (1976)
- Tatiana Tarasoff killed by ex-boyfriend
- Ex-boyfriend treated by psychiatrist at university
- Boyfriend stated intent to kill to psychiatrist
- Authorities notified but not Tarasoff

Duty to Warn and Protect

- Psychiatric patient intending harm to self/others
- Suicidal patients (i.e. family notification)
- Homicidal patients (i.e. police notification)
- Partners of patients with STDs

STDs

Sexually Transmitted Diseases

- Duty to protect/warn partners of patients
 - · Partners of HIV+ patients
 - Partners of patients with other STDs
- · Only applies to sexual partners
- · Does not apply to other individuals
- Co-workers
- Students of a teacher
- · Patients of a physician

STDs

Sexually Transmitted Diseases

- Physician may disclose STD status to partners
- · May do so without consent in special cases:
 - · Reasonable effort to encourage patient to voluntarily disclose
- · Reasonable belief patient will not disclose information
- Disclosure is necessary to protect health of partner
- Always encourage patient to disclose first
- Some states have partner referral services

www.aids.gov

Reportable Illnesses

- US states mandate certain "reportable diseases"
 - Prevent infectious disease outbreaks
- Most micro labs have protocols to automatically report
- Tuberculosis
- Syphilis
- Gonorrhea
- · Childhood diseases (measles, mumps)
- Many other diseases that vary by state

https://wwwn.cdc.gov/nndss/conditions/notifiable/2017/

Abuse

- Child and elder abuse must be reported
 - Child abuse: Reporting mandatory in all US states
 - Elder abuse: Reporting mandatory in most US states
- Child protective services
- Adult protective services
- $\bullet \ \ Usually \ history \ of \ repeated/suspicious \ injuries$
- First step: child/adult interviewed alone
- $\bullet \ \ Physician \ protected \ if \ reporting \ proves \ incorrect$

Spousal Abuse

- "Intimate PartnerViolence"
- Suggested by multiple, recurrent injuries/accidents
- Primary concern is safety of victim
 - Provider should be supportive
 - May be a difficult topic of discussion
 - · Ask if patient feels safe at home
 - Ensure patient has a safe place in emergency
- Some states have reporting requirements

Driving

- Physicians often encounter "impaired drivers"
- Often elderly patients with vision, mobility disorders
- No uniform standard for reporting
- Widely varying rules by US state
- Best answer often to discuss with patient/family

Driving

- Exception: Seizures
- Most states requires a seizure-free interval
 - i.e. 6 months, 1 year
- $\bullet \ \ Often involves consulting with state DMV$

Decision-Making Capacity

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Decision-Making Capacity

- Ability to comprehend information about illness and treatment options and make choices in keeping with personal values
- · Usually used regarding a specific choice
- Example: Patient has capacity to consent to surgery
- Required for informed consent
- · Key component of ethical principle of autonomy

Competency

- · Legal judgment
- · Different from decision-making capacity
- Determined by a court/judge
- · Clinicians can determine decision-making capacity

Decision-Making Capacity

- Understanding
- · Patient understands disease and therapy
- · Expression of achoice
- · Patient clearly communicates yes or no
- · Appreciation offacts
 - · Related to understanding
- Patient understands how disease/therapy affects him/her
- Reasoning
 - · Compare options
- Understand consequences of a choice

Decision-Making Capacity

- Patient is ≥ 18 years old or legally emancipated
- Decision remains stable over time
- Decision not clouded by a mood disorder
- · No altered mental status
- Intoxication
- Delirium
- Psychosis

Intellectual Disability

- Patients with Down syndrome, Fragile X
- Does not automatically preclude decision making
- Disabled patient must meet usual requirements
 - Understanding
 - Expression of a choice
 - Appreciation of facts
 - Reasoning

Patients Who Lack Capacity

- · Advance directives
- Surrogates

Advance Directives

- Instructions by patient in case of loss of capacity
- · Two maintypes:
 - Living Will
 - · Durable Power of Attorney for Health Care

Living Will

- Document of patient preferences for medical care
- Takes effect if patient terminally ill and incapacitated
- Usually addresses life support, critical care
- Often directs withholding of heroic measures

DPAHC

Durable Power of Attorney for Health Care

- · Also called a Health Care Proxy
- · Signed legal document
- Authorizes **surrogate** to make medical decisions
- Surrogate should follow patient's wishes
- · Answer question: "What would patient want?"

Absence of Advance Directive

- $\bullet \ \ Some \ states \ recognize \ \textbf{oral/spoken statements}$
- Reliable, repeated statements by patient about wishes
- $\bullet \ \ Usually \, must \, be \, witnessed \, by \, several \, people$

Surrogate Designation

- Used when no advance directives available
- · Make decisions when patient loses capacity
- $\bullet \ \ Determine \ what \ patient \ would \ have \ wanted$
- If no power of attorney:
 - #1: Spouse
 - #2 Adult children
- #3: Parents
- #4: Adult siblings
- #5: Other relatives

Brain Death

- Permanent absence of brain functions
- Brain death = **legally dead** in the United States
- Life support may be withdrawn
- Even over surrogate/family objections

Public Health

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Disease Prevention

- Primary
- Secondary
- Tertiary

Primary Prevention

- Prevents disease from occurring
- Immunizations
- Folate supplementation in pregnancy

Secondary Prevention

- · Prevent disability
- Detect and treat early, ideally when asymptomatic
- Most **screening**programs
- Mammograms
- Pap smears
- Colonoscopy

Tertiary Prevention

- Prevents long-term disease complications
- $\bullet \ Maximize \, remaining \, function \,$
- $\bullet \ \ Cardiac\, rehabilitation\, programs$

Quaternary Prevention

- Prevents **overtreatment** or harm from treatment
- Many examples of overuse in US medicine
 - · Blood tests
 - · Radiology tests
 - · Coronary procedures
- Ensureappropriate use

US Healthcare

- Healthcare is expensive (\$\$\$)
- Few patients pay out of pocket
- $\bullet \ \ Major\ insurance\ options:$
 - Medicare
 - Medicaid
 - Private insurance

Emergency Care

- Must always be provided regardless of insurance
- After patient stable, insurance can be discussed

Medicare

- $\bullet \ \ Federal \ program \ administered \ by \ US \ government$
- Paid for by Federal US taxes
- Provides health insurance for:
 - · Patients over 65 years of age
 - Disabled
 - Patients on dialysis

Medicare

- Part A
 - Hospital payments
- Part B
 - · Outpatient treatment
- · Clinic visits, diagnostic testing
- Part D
 - Prescription drug coverage

Medicare

- Part C
 - Special option that patients may select
 - Pays private insurer to provides healthcare

Medicaid

- Jointly funded by state and federal governments
 - Some \$\$ from Federal government
 - Some \$\$ from State governments
- Administered by states
- $\bullet \ \ Health in surance for {\color{red} low income patients/families}$

Private Insurance

- · Often provided by patient's employer
- · Employer pays fee to insurance company
- · Insurance company pays costs of medical care
- Expensive for employer
- Helps to attract skilled workers
- Several types of plans that vary in features/cost
- Health Maintenance Organization (HMO)
- Preferred Provider Organization (PPO)
- · Point of Service plan (POS)

Private Insurance

- · Health Maintenance Organization (HMO)
 - · Insurance companies hires providers
 - Must use HMO providers limited choice of physicians
 - · Less expensive

Private Insurance

- · Preferred Provider Organization (PPO)
 - · See any MD you want
 - "In network" MDs have a lower co-pay
 - · Most expensive plan
 - Most flexible plan

Private Insurance

- · Point of Service plan (POS)
 - · Middle option between HMO and PPO
 - Must use specific primary care doctor
 - · Can go "out of network" with a higher co-pay

Payment Types

- Fee for service
 - \$100 per clinic visit
- Salary
- \$100,000 per year → doctor must see all patients
- Capitation
 - Set fee paid to physician/hospital per patient/illness
 - Spends LESS than fee → make money
 - Spends MORE than \rightarrow loses money
 - Financial risk transferred to physician/hospital

Affordable Care Act

- Enacted in 2010
- $\bullet \ Expands \, Medicaid \, coverage \,$
- $\bullet \ Establishes exchanges \\$
- Uninsured patients may purchase private healthcare

Hospice

- End of life care
- Focus on quality of life not quantity (prolongation)
- $\bullet \ Symptom \, control$
- \bullet Services provided at home or in a facility
- Requires expected survival \leq 6 months

Quality and Safety

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Quality and Safety

- Vocabulary
- Hospital Quality Measures
- · Prevention and Safety

Care Transition

- Patient transfer
 - Home → Hospital
 - Hospital → Home
 - Hospital → Nursing Home
 - Nursing Home \rightarrow Home
- Potential for harm to patients
 - · What meds to take?
 - · What activates to avoid?
 - · When to call doctor?

Medication Reconciliation

- · Process of identifying most accurate list of meds
- · Name, dosage, frequency, route
- $\bullet \ \ Done\ by\ comparing\ medical\ record\ to\ external\ list$
- Often done at care transitions
 - · Admission to hospital
 - $\bullet \ \ Admission to nursing \ home$

Antimicrobial Stewardship

- · Hospital program
- · Monitors use ofantibiotics
- Goals:
- Prevent emergence of drug-resistant bacteria
- Promote appropriate use of antibiotics
- Often monitors:
 - Prescribing patterns
 - Microbiology culture results and sensitivities

SBAR

Situation, Background, Assessment, Recommendation

- $\bullet \ Communication tool \\$
- Standardized method of communication
- Often used by nurses when calling MD
- Situation: What is happening
 - Example: Patient has fever
- Background: Who is the patient?
- Example: Elderly woman with cancer
- $\bullet \ Assessment: Other\ vitals?\ Labs?$
- Recommendation: What is needed?
 - · Example: I need to know if you want to start antibiotics.

Quality Measurements

- Readmissions
- · Pressure Ulcers
- Deep veinthrombosis
- · Surgical-site infections
- Central-line infections
- Never Events

Hospital Readmission

- Patient X discharged from hospital
- Ten days later, patient X admitted again
- Readmission rate used as a quality indicator
- High readmission rate may be due to:
 - · Patient discharged too early
 - · Patient not educated prior to discharge
 - Follow-up not scheduled

Hospital Readmission

30-day All-Cause Hospital Readmissions Most Common Conditions

Medicare			Uninsured
Heart Failure	Mood Disorders	Chemotherapy	Mood Disorders
Sepsis	Schizophrenia	Mood Disorders	Alcoholism
Pneumonia	Diabetes	Surgical Complications	Diabetes

Healthcare Cost and Utilization Project. **Conditions With the Largest Number of Adult Hospital Readmissions by Payer.** April 2014

Pressure Ulcers

- Immobile hospitalized patient: ↑ risk skin breakdown
- · Can lead to pressure ulcers (usually sacral)
- · Causes pain, risk of infection
- · Preventative measures
 - · Daily skin checks
 - Special mattresses (redistribute pressure)
 - Early identification/care skin breakdown

Surgical Site Infections

- Post-surgicalinfection
- Often superficial skin infection (cellulitis)
- Can also be deep tissue or organ infection
- Can result from poor sterile technique

Central Line Infections

- Central line insertion can lead to bacteremia
- Can occur due to poor sterile technique
- $\bullet \ \ Gram\text{-positive} \ skin \ or ganisms \ most \ common$
- Staph epidermis and staphylococcus aureus

VAP

Ventilator Acquired Pneumonia

- Pneumonia after patient placed on ventilator
- · May be due to hospital factors
 - · Failure to elevate head of bed
- · Poor oral care in intubated patients

DVT

Deep Vein Thrombosis

- Immobile, bed-bound patients = ↑ risk thrombus

 - Stasis, hypercoagulable state, endothelial damage
- ↑ rates of DVT may be due to poor hospital practices
- Methods of prophylaxis:
 - · Early ambulation
 - · Intermittent pneumatic compression
 - · Subcutaneous heparin
- Low molecular weight heparin (Enoxaparin)

Never Events

- Events that should never happen no exceptions
- · Some examples:
 - Surgery on the wrong site
 - · Surgery on the wrong patient
 - · Wrong surgical procedure performed
 - · Foreign object left inside patient during surgery
 - · Administration of incompatible blood

Physician Quality Measurements

- · Diabetic patients
 - · Foot exams
 - Eye exams
- Systolic heart failure patients
- · ACE inhibitors
- Immunizations

Quality Measurements Process versus Outcome

- · Process measurement
 - · Rates of immunization
 - · Rates of DVT prophylaxis
- Outcome measurement
 - · Rates of infection
 - · Rates of DVT

Prevention and Safety

- Infection control precautions
- · Immunizations
- · Root Cause Analysis
- Failure Mode/Effects Analysis
- Time Out
- Checklists
- Triggers and Rapid Response
- · Forcing functions/workaround
- · Culture of Safety

Infection Control Precautions

- Patients with certain infections need "precautions" taken to prevent spread of disease
- Four basic types of precautions:
- · Standard Precautions
- Droplet Precautions
- · Contact Precautions
- · Airborne Precautions

Standard Precautions

- · Hand washing
- Gloves when touching blood, body fluids
- Surgical mask/face shield if chance of splash/spray
- Gown if skin or clothing exposed to blood/fluids

Contact Precautions

- Patients with infections easily spread by contact
- · Gloves, gown
- Key pathogens
- · Any infectious diarrhea (norovirus, rotavirus)
- Especially clostridium difficile
- MRSA

Droplet Precautions

- · Patient with infection that spreads by speaking, sneezing, or coughing
- Facemask, gloves and gown
- · Key pathogens:
 - Respiratory viruses, especially influenza, RSV
 - · Neisseria meningitides
- · Bordetella pertussis

College Student Fever, neck

Respiratory Precautions Airborne/TB precautions

- Patients with infections spread by airborne route
- Fit tested mask or respirator
- Gloves, gown
- Key pathogens
- Tuberculosis
- Measles • Chickenpox
- Fever, cough Immunocompromise

Immunizations

- · Many hospitalized patients at risk for influenza and streptococcus pneumonia
- Pneumococcal vaccine
 - Age 65+
- Age <65 with high risk conditions
- · PPSV23: Contains capsular polysaccharide antigens
- · PCV13: Conjugated to diphtheria toxoid
- Influenzavaccine
 - · All persons 6 months and older annually
 - · Killed virus vaccine

Root Cause Analysis

- Method to analyze serious adverse events (SAEs)
- Identifies direct cause of error plus contributors
- Example:
- · Wrong drug administered to patient
- · MD error?
- · Nursing error?
- Labels hard to read: Printing error?
- · Nurses rushed: Hospital error?

Failure Mode & Effects Analysis

- Identifying how a process might fail
 - Root cause analysis done **BEFORE** adverse event happens
- Identifying effects of potential failure
- · Break process down into components
- · Look for failure/effect of each component



Types of Errors

- Active errors
- Occur at the end of a process
- Frontline/bedside operator error
- Latenterrors
- Errors away from bedside that impact care
- Example: Poor staffing leads to overworked nurses

Swiss Cheese Model

- Flaws at multiple levels align to cause serious errors
- · Often more than just a single mistake
 - · Institutional factors
 - · Supervisor errors
 - Environmental factors
 - · Individual error

PDSA

Plan-Do-Study-Act

- PLAN: Plan a change in hospital practice
- DO: Do what you planned
- STUDY: Study the outcome. Did things get better?
- ACT: Act on the study findings
- · PDSA "cycles" repeated
- $\bullet \ Generates continuous improvement\\$

PDSA

Plan-Do-Study-Act

- Example:
 - Too many surgical site infections
 - Plan to mandate double hand washing
 - Implement plan (Do)
 - Study effects on surgical site infections
 - · Action taken based on results

Time Out

- Pause before a medical/surgical procedure
- Patient, physician, nurses, staff all present
- $\bullet\,$ All must agree on patient name, type of procedure

Checklist

- · Concept from airline industry
- Series of steps that must be done prior to procedure
- Show to reduce many adverse events
 - · Central-line infections
 - · Surgical-site infections

Triggers and Rapid Response

- Patients that "crash" often have signs of impending decline hours before
- Triggers: Patient events that mandate response
- New chest pain
- · Low oxygen saturation
- Rapid Response Team
- Provider group
- Responds to triggers with formal assessment

Forcing Functions

- "Force" an action beneficial for safety
 - · Cannot order meds until allergies verified
- Workaround
 - · Obtain meds without using ordering system
- Potential for harm

Human Factors Design

- **Design of systems** that accounts for human factors
 - How humans work and function
 - How humans interact with system
- Failure to account for human nature → errors

Human Factors Design

- Standardization
- Same procedures followed throughout hospital
- Simplification
- Fewer steps \rightarrow less chance for error
- Forcingfunctions
 - Cannot only interact with system in one way

Culture of Safety

- Safety as priority for organization
- Teamwork
- Openness and transparency
- Accountability
- $\bullet \ Non-punitive \ {\tt responses} \ to \ adverse \ {\tt events/errors}$
- Education and training

High Reliability Organization

- $\bullet \ \ Organizations \ that \ operate \ in \ hazardous \ conditions$
 - High potential for error
- $\bullet \ Fewer than average adverse events$